

03/01/2005

**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

**Title of  
Invention****BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE**

Application Number : 10/517004



Confirmation Number: 8672

First Named Applicant: Christy Cummins

Attorney Docket Number: 022762-0014

Art Unit: ~~NA~~ 3731Examiner: ~~Not Yet Assigned~~ J. W. Wood

Search string: ( 6277140 or 6197042 or 6348064 or 6767356 or 6506210 or 6719777 or 20040010285 ).pn

**US Patent Documents****Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

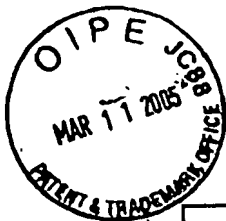
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<del>AA</del>	AA	6277140	2001-08-21	Ginn et al.	A1		
	AB	6197042	2001-03-06	Ginn et al.			
	AC	6348064	2002-02-19	Kanner			
	AD	6767356	2004-07-27	Kanner et al.	A1		
	AE	6506210	2003-01-14	Kanner			
	AF	6719777	2004-04-13	Ginn et al.	A1		

**US Published Applications****Note: Applicant is not required to submit a paper copy of cited US Published Applications**

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
<del>AG</del>	AG	20040010285	2004-01-15	Carley et al.	A1		

**Signature**

Examiner Name	Date
<i>John W. Wood</i>	8-5-07



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PTO/SB/08a/b (08-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete If Known</b>		
			Application Number	10/517,004	
			Filing Date	December 3, 2004	
			First Named Inventor	Christy Cummins	
			Art Unit	<del>N/A</del> 3731	
Examiner Name	Not Yet Assigned J. W. Woo				
Attorney Docket Number	022762-0014				
Sheet	1	of	21		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>[Signature]</i>		US-2003/0109890-A1	06-12-2003	Kanner et al.	
		US-2004/0267312-A1	12-30-2004	Kanner et al.	
		US-2004/0087985-A1	05-06-2004	Loshakove et al.	
		US-2002/0188318-A1	09-23-2003	Carley et al.	
		US-5,192,300	03-09-1993	Fowler	
		US-5,443,481	08-22-1995	Lee	
		US-5,591,205	01-07-1997	Fowler	
		US-5,620,461	04-15-1997	Muijs Van De Moer et al.	
		US-5,676,689	10-14-1997	Kensey et al.	
		US-6,004,341	12-21-1999	Zhu et al.	
		US-6,048,358	04-11-2000	Barak	
		US-6,090,130	07-18-2000	Nash et al.	
		US-6,533,762-A1	03-18-2003	Kanner et al.	
		US-6,755,842-A1	06-29-2004	Kanner et al.	
		US-2002/0049472-A1	04-25-2002	Coleman	
		US-6,623,510-A1	09-23-2003	Carley et al.	
		US-6,322,580	11-27-2001	Kanner	
		US-6,056,768	05-02-2000	Cates et al.	
		US-6,022,372	02-08-2000	Kontos	
		US-6,113,612	09-05-2000	Swanson et al.	
		US-5,716,375	02-10-1998	Fowler	
		US-5,645,566	07-08-1997	Brenneman et al.	
		US-5,601,602	02-11-1997	Fowler	
		US-5,478,352	12-26-1995	Fowler	
		US-5,275,616	01-04-1994	Fowler	
		US-5,108,421	04-28-1992	Fowler	
		US-2004/0254591-A1	12-16-2004	Kanner et al.	
		US-2003/0097140-A1	05-22-2003	Kanner	
		US-2002/0151921-A1	10-17-2002	Kanner et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>[Signature]</i>		EP-0 534 696	03-31-1993	Erbacher, Jay	
		WO-00/56227	09-28-2000	Loshakove et al.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Examiner Signature	<i>Juban M. Woo</i>	Date Considered	8-5-07
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07/12/2007

VIA eFILE

Form PTO-1449

Applicant: Christy Cummins

Serial No.: 10/517,004

Filing Date: June 6, 2005

For: BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

Sheet 1 of 17


Confirmation No.: 8672

Att'y Docket No.: 16497.124

Art Unit: 3731

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
 A1	287,046	10/23/1883	Norton
— A2	1,088,393	02/24/1914	Backus
— A3	1,331,401	02/17/1920	Summers
— A4	2,087,074	07/13/1937	Tucker
— A5	2,453,227	11/09/1948	James
— A6	2,684,070	07/20/1954	Kelsey
— A7	2,944,311	07/12/1960	Schneckenberger
— A8	2,969,887	01/31/1961	Darmstadt et al.
— A9	3,015,403	01/02/1962	Fuller
— A10	3,142,878	08/04/1967	Santora
— A11	3,482,428	12/09/1969	Kapitanov et al.
— A12	3,586,002	06/22/1971	Wood
— A13	3,604,425	09/14/1971	Le Roy
✓ A14	3,677,243	09/24/1971	Nerz

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Confirmation No.: 8672

Att'y Docket No.: 16497.124

Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
<del>Q. H. H.</del> A15	3,757,629	09/11/1973	Schneider
— A16	3,805,337	04/23/1974	Branstetter
— A17	3,944,114	03/16/1976	Coppens
— A18	4,014,492	03/29/1977	Rothfuss
— A19	4,192,315	03/11/1980	Hilzinger et al.
— A20	4,207,870	06/17/1980	Eldridge
— A21	4,217,902	08/19/1980	March
— A22	4,278,091	07/14/1981	Borzone
— A23	4,318,401	03/09/1982	Zimmerman
— A24	4,345,606	08/24/1982	Littleford
— A25	4,396,139	08/02/1983	Hall et al.
— A26	4,411,654	10/25/1983	Boarini et al.
— A27	4,412,832	11/01/1983	Kling et al.
— A28	4,428,376	01/31/1984	Mericle
— A29	4,485,816	12/04/1984	Krumme
— A30	4,505,273	03/19/1985	Braun et al.

Examiner:

*Ju-han W. Woo*

Date Considered: *8-5-07*

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Confirmation No.: 8672

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Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
 A31	4,505,274	03/19/1985	Speelman
— A32	4,523,695	06/18/1985	Braun et al.
— A33	4,525,157	06/25/1985	Valaincourt
— A34	4,586,503	05/06/1986	Kirsch et al.
— A35	4,607,638	08/26/1986	Crainich
— A36	4,610,251	09/09/1986	Kumar
— A37	4,610,252	09/09/1986	Catalano
— A38	4,665,906	05/19/1987	Jervis
— A39	4,687,469	08/18/1987	Osycka
— A40	4,724,840	02/16/1988	McVay et al
— A41	4,738,658	04/19/1988	Magro et al.
— A42	4,744,364	05/17/1988	Kensey
— A43	4,771,782	09/20/1988	Millar
— A44	4,772,266	09/20/1988	Groshong
— A45	4,777,950	10/18/1988	Kees, Jr.
↓ A46	4,789,090	12/06/1988	Blake, III

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Confirmation No.: 8672

Att'y Docket No.: 16497.124

Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
 A47	4,832,688	05/23/1989	Sagae et al.
— A48	4,836,204	06/06/1989	Landymore et al.
— A49	4,852,568	08/01/1989	Kensey
— A50	4,860,746	08/29/1989	Yoon
— A51	4,865,026	09/12/1989	Barrett
— A52	4,874,122	10/17/1989	Froelich et al.
— A53	4,878,915	11/07/1989	Brantigan
— A54	4,887,601	12/19/1989	Richards
— A55	4,917,087	04/17/1990	Walsh et al.
— A56	4,934,364	06/19/1990	Green
— A57	4,950,258	08/21/1990	Kawai et al.
— A58	5,007,921	04/16/1991	Brown
— A59	5,015,247	05/14/1991	Michelson
— A60	5,021,059	06/04/1991	Kensey et al.
— A61	5,026,390	06/25/1991	Brown
↓ A62	5,053,008	10/01/1991	Bajaj

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Applicant: Christy Cummins

Serial No.: 10/517,004

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Confirmation No.: 8672

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
<u>JMM</u> A63	5,061,274	10/29/1991	Kensey
<u>  </u> A64	5,100,418	03/31/1992	Yoon et al.
<u>  </u> A65	5,108,420	04/28/1992	Marks
<u>  </u> A66	5,114,032	05/19/1992	Laidlaw
<u>  </u> A67	5,114,065	05/19/1992	Storace
<u>  </u> A68	5,131,379	07/21/1992	Sewell, Jr.
<u>  </u> A69	5,147,381	09/15/1992	Heimerl et al.
<u>  </u> A70	5,156,609	10/20/1992	Nakao et al.
<u>  </u> A71	5,167,643	12/01/1992	Lynn
<u>  </u> A72	5,171,249	12/15/1992	Stefanchik et al.
<u>  </u> A73	5,176,648	01/05/1993	Holmes et al.
<u>  </u> A74	5,192,301	03/09/1993	Kamiya et al.
<u>  </u> A75	5,192,302	03/09/1993	Kensey et al.
<u>  </u> A76	5,209,756	05/11/1993	Seedhorm et al.
<u>  </u> A77	5,222,974	06/29/1993	Kensey et al.
<u>  </u> A78	5,246,443	09/21/1993	Mai

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Form PTO-1449

Applicant: Christy Cummins

Serial No.: 10/517,004

Filing Date: June 6, 2005


For: BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

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Confirmation No.: 8672

Att'y Docket No.: 16497.124

Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
 A79	5,290,243	03/01/1994	Chodorow et al.
— A80	5,290,310	03/01/1994	Makower et al.
— A81	5,292,309	03/08/1994	Van Tassel et al.
— A82	5,292,332	03/08/1994	Lee
— A83	5,304,184	04/19/1994	Hathaway et al.
— A84	5,306,254	04/26/1994	Nash et al.
— A85	5,318,542	06/07/1994	Hirsch et al.
— A86	5,320,639	06/14/1994	Rudnick
— A87	5,334,216	08/02/1994	Vidal et al.
— A88	5,334,217	08/02/1994	Das
— A89	5,350,399	09/27/1994	Erlebacher et al.
— A90	5,352,229	10/04/1994	Goble et al.
— A91	5,366,458	11/22/1994	Korthoff et al.
— A92	5,366,479	11/22/1994	McGarry et al.
— A93	5,395,030	03/07/1995	Kuramoto et al.
— A94	5,411,520	05/02/1995	Nash et al.

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*Julian M. Moo*

Date Considered:

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Confirmation No.: 8672

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Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
<u>J.M.W.</u> A95	5,413,571	05/09/1995	Katsaros et al.
_____ A96	5,416,584	05/16/1995	Kay
_____ A97	5,417,699	05/23/1995	Klein et al.
_____ A98	5,431,639	07/11/1995	Shaw
_____ A99	5,456,400	10/10/1995	Shichman et al.
_____ A100	5,470,010	11/28/1995	Rothfuss et al.
_____ A101	5,474,557	12/12/1995	Mai
_____ A102	5,478,353	12/26/1995	Yoon
_____ A103	5,478,354	12/26/1995	Tovey et al.
_____ A104	5,486,195	01/23/1996	Myers et al.
_____ A105	5,507,755	04/16/1996	Gresl et al.
_____ A106	5,522,840	06/04/1996	Krajicek
_____ A107	5,527,322	06/18/1996	Klein et al.
_____ A108	5,536,251	07/16/1996	Evard et al.
_____ A109	5,544,802	08/13/1996	Crainich
_____ A110	5,560,532	10/01/1996	DeFonzo et al.

Examiner:

*Julian M. Woo*

Date Considered:

*8-5-07*

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Form PTO-1449

Applicant: Christy Cummins

Serial No.: 10/517,004

Filing Date: June 6, 2005


For: BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

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Confirmation No.: 8672

Att'y Docket No.: 16497.124

Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
 A111	5,643,318	07/01/1997	Tsukernik et al.
— A112	5,645,565	07/08/1997	Rudd et al.
— A113	5,645,567	07/08/1997	Crainich
— A114	5,674,231	10/07/1997	Green et al.
— A115	5,683,405	11/04/1997	Yacoubian et al.
— A116	5,690,674	11/25/1997	Diaz
— A117	5,695,504	12/09/1997	Gifford, III et al.
— A118	5,695,505	12/09/1997	Yoon
— A119	5,725,554	03/10/1998	Simon et al.
— A120	5,728,114	03/17/1998	Evans et al.
— A121	5,728,122	03/17/1998	Leschinsky et al.
— A122	5,728,132	03/17/1998	Van Tassel et al.
— A123	5,732,872	03/31/1998	Bolduc et al.
— A124	5,782,844	07/21/1998	Yoon et al.
— A125	5,782,860	07/21/1998	Epstein et al.
— A126	5,782,861	07/21/1998	Cragg et al.

Examiner: 

Date Considered: 8-5-07

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Form PTO-1449

Applicant: Christy Cummins

Serial No.: 10/517,004

Filing Date: June 6, 2005


For: BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

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Confirmation No.: 8672

Att'y Docket No.: 16497.124

Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
 A127	5,810,846	09/22/1998	Virnich et al.
— A128	5,810,851	09/22/1998	Yoon
— A129	5,817,113	10/06/1998	Gifford, III et al.
— A130	5,820,631	10/13/1998	Nobles
— A131	5,830,125	11/03/1998	Scribner et al.
— A132	5,855,312	01/05/1999	Toledano
— A133	5,861,005	01/19/1999	Kontos
— A134	5,871,474	02/16/1999	Hermann et al.
— A135	5,871,501	02/16/1999	Leschinsky et al.
— A136	5,871,525	02/16/1999	Edwards et al.
— A137	5,879,366	03/09/1999	Shaw et al.
— A138	5,902,310	05/11/1999	Foerster et al.
— A139	5,910,155	06/08/1999	Ratcliff et al.
— A140	5,922,009	07/13/1999	Epstein et al.
— A141	5,935,147	08/10/1999	Kensey et al.
— A142	5,941,890	08/24/1999	Voegele et al.

Examiner:



Date Considered:

8-5-01

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Form PTO-1449

Applicant: Christy Cummins

Serial No.: 10/517,004

Filing Date: June 6, 2005


For: BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

Sheet 10 of 17

Confirmation No.: 8672

Att'y Docket No.: 16497.124

Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
 A143	5,951,518	09/14/1999	Licata et al.
— A144	5,951,589	09/14/1999	Epstein et al.
— A145	5,964,782	10/12/1999	Lafontaine et al.
— A146	5,984,934	11/16/1999	Ashby et al.
— A147	5,984,949	11/16/1999	Levin
— A148	6,007,563	12/28/1999	Nash et al.
— A149	6,036,720	03/14/2000	Abrams et al.
— A150	6,045,570	04/04/2000	Epstein et al.
— A151	6,056,769	05/02/2000	Epstein et al.
— A152	6,056,770	05/02/2000	Epstein et al.
— A153	6,063,085	05/16/2000	Tay et al.
— A154	6,077,281	06/20/2000	Das
— A155	6,077,291	06/20/2000	Das
— A156	6,080,182	06/27/2000	Shaw et al.
— A157	6,080,183	06/27/2000	Tsugita et al.
— A158	6,110,184	08/29/2000	Weadock

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Confirmation No.: 8672

Att'y Docket No.: 16497.124

Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
 A159	6,117,148	09/12/2000	Ravo
____ A160	6,120,524	09/19/2000	Taheri
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Date Considered:

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Form PTO-1449

Applicant: Christy Cummins

Serial No.: 10/517,004

Filing Date: June 6, 2005

For: BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

Sheet 12 of 17

Confirmation No.: 8672

Att'y Docket No.: 16497.124

Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
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Examiner:

*Julian M. Moo*

Date Considered:

*8-5-07*

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Applicant: Christy Cummins

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Confirmation No.: 8672

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Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
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Examiner:

*Jubair M. Moe*

Date Considered:

*8-5-07*

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Confirmation No.: 8672

Att'y Docket No.: 16497.124

Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
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Examiner:

*Jubian M. Woo*

Date Considered:

*8-5-07*

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Serial No.: 10/517,004

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
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Examiner: J. Nathan M. Moo Date Considered: 8-5-07

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Form PTO-1449

Applicant: Christy Cummins

Serial No.: 10/517,004

Filing Date: June 6, 2005


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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
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(including author, title, pertinent pages, etc.)

Examiner Initial\*

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Database WPI; Section PQ, Week 200120; Derwent Publications Ltd., London  
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Examiner:  Date Considered: 8-5-07

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Form PTO-1449

Applicant: Christy Cummins

Serial No.: 10/517,004

Filing Date: June 6, 2005

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Att'y Docket No.: 16497.124

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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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